



## TRC Financial Services Limited

### QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE UNDER CLAUSE 49 OF THE LISTING AGREEMENT

Name of the Company: TRC Financial Services Limited  
Quarter ended as on : 30<sup>th</sup> SEPTEMBER, 2014

Particulars	Clause of Listing Agreement	Compliance Status (Yes/ No)	Remarks
1	2	3	4
<b>(I) Board of Directors</b>	<b>49 I</b>		--
(A) Composition of the Board	49 (IA)	Yes	--
(B) Non executive Directors' compensation and disclosures	49 (IB)	Yes	--
(c) Other provisions as to Board and committee	49 (IC)	Yes	--
(D) Code of conduct	49 (ID)	Yes	--
<b>(II) Audit Committee</b>	<b>49 II</b>		--
(A) Qualified and independent Audit committee	49(IIA)	Yes	-
(B) Meeting of the Audit Committee	49(II B)	Yes	--
(C) Powers of Audit Committee	49(II C)	Yes	--
(D) Role of Audit Committee	49(II D)	Yes	--
(E) Review of the information of the Audit committee	49(II E)	Yes	--
<b>(III) Subsidiary Companies</b>	<b>49 (III)</b>	<b>N.A.</b>	--
<b>(IV) Disclosures</b>	<b>49 (IV)</b>		--
(A) Basis of related party transactions	49 (IV A)	N.A.	--



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(B) Disclosure of Accounting Treatment	49(IV B)	Yes	--
(C) Board Disclosures	49 (IV C)	Yes	--
(D) Proceeds from public issues, rights issues, preferential issues etc	49 (IV D)	N.A.	--
(E) Remuneration of directors	49(IV E)	Yes	--
(F) Management	49 (IVF)	Yes	--
(G) Shareholders	49(IVG)	Yes	--
(V) CEO/ CFO certification	49(V)	Yes	Included in the Annual Report
(VI) Report on Corporate Governance	49(VI)	Yes	Included in the Annual Report
(VII) Compliance	49 (VII)	Yes	Included in the Annual Report

For, TRC FINANCIAL SERVICES LIMITED




**Vijay M S Misquitta**  
**Managing Director**  
DIN: 00993141  
Place: Mumbai  
Date: 09/10/2014